

UMMC9103.IDS  
EWM7:WP  
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10/20/93

RECEIVED PATENT APPLICATION  
NOV 01 1993 Docket No.: UMMC91-03A  
#2  
11-59  
GROUP 1800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harriet L. Robinson, Ellen L. Fynan and Robert G. Webster

Serial No.: 08/009,833 Group Art Unit: 1813

Filed: January 27, 1993 Examiner: L. Smith

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION UNIT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 10/21/93  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

B. J. Novus 10/21/93  
Signature Date

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either:  
 a Certification under 37 CFR 1.97(e), or  
 a \$200.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with:  
 a Certification under 37 CFR 1.97(e), and  
 a petition under 37 CFR 1.97(d)(2)(ii), and  
 a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- Enclosed is a check in the amount of \$ \_\_\_\_\_.
- Please charge Deposit Account 08-0380 in the amount of \$ \_\_\_\_\_.
- Two duplicate copies of this Statement are enclosed.
- Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Enclosed herewith is form PTO-1449:

- Copies of the cited references are enclosed.
- Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- The "concise explanation" requirement for reference(s) \_\_\_\_\_ under 37 CFR 1.98(a)(3) is satisfied by:
  - the explanation provided on the attached sheet.
  - the explanation provided in the Specification.
  - submission of the enclosed International Search Report.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

By Patricia Granahan  
Patricia Granahan  
Attorney for Applicants  
Registration No.: 32,227  
Telephone: (617) 861-6240

Lexington, MA 02173  
Dated: October 21, 1993

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UMMC9103.CER  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harriet L. Robinson, Ellen L. Fynan and Rogert G. Webster

Serial No.: 08/009,833 Group Art Unit: 1813

Filed: January 17, 1993 Examiner: L. Smith

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION UNIT

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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

B.J. Koenig 10/21/93  
Signature Date

CERTIFICATION UNDER 37 CFR 1.97(e)

The Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

The undersigned hereby certifies that either:

1. Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
2. No item of information contained in the Information Disclosure Statement
  - was cited in a communication from a foreign patent office in a counterpart foreign application, or
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

By Patricia Granahan  
Patricia Granahan  
Attorney for Applicants  
Registration No.: 32,227  
Telephone: (617) 861-6240

Lexington, MA 02173  
Dated: October 21, 1993

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